

2-18-00

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Patent 251/020

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: 251/002
First Named Inventor: Ronald A. Katz
Prior Application Information:
Serial No. 09/038,399

Examiner: G. Morgan Art Unit: 2761

BOX PATENT APPLICATION Assistant Commissioner for Patents Washington, D. C. 20231

FILING UNDER 37 CFR § 1.53(b)

| This is a request for filing for a | ř 📱 | | | | | | |
|--|-----|--|--|--|--|--|--|
| ☐ continuation ☐ divisional ☐ continuation-in-part (CIP) | | | | | | | |
| application under 37 CFR \S 1.53(b) of pending prior application Serial No. $\underline{09/038,399}$ filed on March 11, 1998, which was allowed on November 23, 1999. | | | | | | | |
| Ronald A. Katz, Gary L. West and Thomas B. Barker, entitled: | • | | | | | | |
| METHODS AND APPARATUS FOR INTELLIGENT SELECTION OF GOODS AND SERVICES IN TELEPHONIC AND ELECTRONIC COMMERCE | | | | | | | |
| <u>For CONTINUATION or DIVISION APPS only</u> : The entire disclosure of the prior application, from which are oath or declaration is supplied, referenced above, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts. | ι | | | | | | |
| I. APPLICATION ELEMENTS ENCLOSED | | | | | | | |
| _38 Page(s) of Written Description | D | | | | | | |
| 21 Page(s) of Claims | | | | | | | |
| 2 Page(s) of Abstract | | | | | | | |
| 9 Sheet(s) of Drawings | | | | | | | |
| _3 Page(s) of ☐ Declaration or ☒ Declaration and Power of Attorney | | | | | | | |
| Copy from prior application [37 CFR §1.63(d)] | | | | | | | |
| Newly executed | | | | | | | |
| Other: | | | | | | | |
| OC-45214.1 | | | | | | | |
| CERTIFICATE OF MAILING (37 C.F.R. §1.10) | | | | | | | |
| I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Se date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to the Assistant Commission Patents, Washington, D.C. 20231. | | | | | | | |
| EL496952465US Denise N. Doss | | | | | | | |
| Express Mail Label No. Pame of Person Mailing Paper February 16, 2000 When the paper of Person Mailing Paper of Person Maili | | | | | | | |
| Date of Deposit Signature of Person Mailing Paper | | | | | | | |

II.

III.

IV.

| K-71 | | 1 | | | | ` | | | |
|---|--|---|--------|--------|-------|--------|----------|----------|----------|
| Assignment papers (cover sheet and documents(s)) | | | | | | | | | |
| \boxtimes | An Information Disclosure Statement, PTO 1449, With copies of cited items. | | | | | | | | |
| | A Verified Statement to establish small entity under 37 CFR §§ 1.9 and 1.27: Is attached. Has been filed in the prior application and such status is still proper and desired. [37 CFR § 1.28(a)] | | | | | | | | |
| FEE (| CALCULATION | | | | | | | | |
| | BASIC FILING | FEE: | | | | | | | \$690.00 |
| Total | Claims | 6 | - | 20 | = | 0 | Х | \$18.00 | \$0.00 |
| Indep | endent Claims | 1 | _ | 3 | = | 0 | X | \$78.00 | \$0.00 |
| Multi | ple Dependent Claims | \$260 | (if a | applic | able |) | | | \$0.00 |
| | TOTAL OF AB | OVE CA | LCU | LAT | ION | S | | | \$690.00 |
| | Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28. If applicable, Verified Statement must be attached. | | | | | | | | |
| Misc. Filing Fees (Recordation of Assignment) | | | | | | | \$0.00 | | |
| TOTAL FEES DUE HEREWITH | | | | | | | \$345.00 | | |
| PRIO | RITY - 35 USC § 119 | *************************************** | | | | | | | |
| Priority of application Serial No filed on in <u>Country</u> is claimed under 35 USC § 119. | | | | | | | | | |
| | The certified copy has be | en filed i | n prio | r U.S | . app | licati | on S | erial No | on |
| | The certified copy will follow. | | | | | | | | |
| AME | NDMENTS | | | · | | | | | · |
| | Cancel in this application original Claims of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes if no new claims are added in a preliminary amendment.) | | | | | | | | |
| | A Preliminary Amendment is enclosed. (Claims added by Amendment must be numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.) | | | | | | | | |

| V. | RELA | LATE BACK - 35 USC § 120 | | | | | | |
|------|-------------|---|---|------------------------------|--|--|--|--|
| | \boxtimes | Relate back informat | ion included in preliminary amendment or specifi | cation. | | | | |
| | | Please amend the spe | cification as follows: | | | | | |
| | | [Enter continu | uing data here] | | | | | |
| | \boxtimes | With respect to the prior co-pending U.S. application from which this application claims benefit under 35 USC § 120, the inventor(s) in this application is (are) [37 CFR 1.53(b)(1)]: | | | | | | |
| | | \boxtimes the same. | | | | | | |
| | | following inv | e named in the prior application and it is requeste entor(s) identified above for the prior application (3(b) AND 1.63(d)(2)]: | | | | | |
| | | [Name(s) of i | nventor(s) to be deleted] | | | | | |
| VI. | FEE I | YMENT BEING N | MADE AT THIS TIME | | | | | |
| | | Not attached. No filin § 1.16(e) can be paid | ng fee is submitted. [This and the surcharge requires subsequently.] | red by 37 CFR | | | | |
| | \boxtimes | Attached. | | | | | | |
| | | Petition fee for fithe inventor when [\$130.00; 37 CFI Petition fee to Su Amendment (New [\$130.00; 37 CFI For processing and language. [\$130.00; 37 CFI Processing and reference for the state of the st | ment. [\$40.00 37 CFR § 1.21(h)(1)] ling by other than all the inventors or person on behalf of re inventor refused to sign or cannot be reached. R §§ 1.47 and 1.17(h)] spend Prosecution for the Time Necessary to File an an example Application Filed Concurrently.) R §§ 1.103 and 1.17(i)] application with a specification in a non-English R §§ 1.52(d) and 1.17(k)] stention fee. R §§ 1.53(f) and 1.21(l)] Total Fees Enclosed | \$345.00 — — — — \$345.00 | | | | |
| VII. | MET | OD OF PAYMENT | OF FEES | | | | | |
| | \boxtimes | | n the amount of \$345.00. | | | | | |
| | | | a's Deposit Account No. 12-2475 in the amount o | f | | | | |
| | | - | | | | | | |

VIII. AUTHORIZATION TO CHARGE ADDITIONAL FEES

The Commissioner is hereby authorized to credit Lyon & Lyon's Deposit Account No. 12-2475 for any over payment of fees or to charge any deficiency in fees by this paper and during the entire pendency of this application to Deposit Account No. 12-2475:

| | and d | iuring the entire pendency of the | is application to Deposit Account No. 12-2473. | | | | | |
|-----|---|--|--|--|--|--|--|--|
| | \boxtimes | 37 CFR § 1.16 (Filing fees a | and excess claims fees) | | | | | |
| | \boxtimes | 37 CFR § 1.17 (Application processing fees) | | | | | | |
| | | 37 CFR § 1.18 (Issue fee at 37 CFR § 1.311(b)) | or before mailing of Notice of Allowance, pursuant to | | | | | |
| | \boxtimes | 37 CFR § 1.21 (Assignment | recordation fees) | | | | | |
| IX. | POW | VER OF ATTORNEY & CO | RRESPONDENCE ADDRESS | | | | | |
| | \boxtimes | iginal papers in the prior application. | | | | | | |
| | The power does not appear in the original papers, but was filed on in application Serial No | | | | | | | |
| | | A new power has been exec | uted and is attached. | | | | | |
| | Pleas | Please send all correspondence to Customer Number 22249: | | | | | | |
| | | | LYON & LYON LLP Suite 4700 | | | | | |
| | | 22249 | 633 W. Fifth Street | | | | | |
| | | PATENT TRADEMARK OFFICE | Los Angeles, CA 90071 | | | | | |
| | Pleas | se direct all inquiries to David | B. Murphy, at (949) 567-2300. | | | | | |
| X. | MAI | MAINTENANCE OF CO-PENDENCY OF PRIOR APPLICATION | | | | | | |
| | | <u> </u> | has been filed to extend the term in the pending prior copy of the petition for extension of time in the prior | | | | | |
| | | A conditional petition for ex | stension of time is being filed in the pending prior | | | | | |

application is attached.

application. A copy of the conditional petition for extension of time in the prior

| | XI. | ABANDONMENT OF PRIOR APPLICATION | | | | | | |
|--------|---------|----------------------------------|--|---|---|---|--|--|
| | | | or when the petition and when this application pending with said pri | for extension of ation is grante or application | of time of a filing of the of | me while the prior application is pending or to revive in that application is granted g date so as to make this application coes same time, please add the words "now fication set forth in Item V above. | | |
| | | | | | | Respectfully submitted, | | |
| | | | | | | LYON & LYON LLP | | |
| | Dated: | : <u>Febru</u> | ary 16, 2000 | | By: _ | David Murphy Reg. No. 31,125 | | |
| 7 | Enclos | sures | | | | | | |
| 7 3 | | <u>ıyer, LI</u> of Assi | | | | | | |
| 7 2 | | | Boulevard, Suite 1005, ssignee | Los Angeles, | CA_900 | <u>069</u> | | |
| = | Ronald | d A. Ka | <u>ntz</u> | | | | | |
| | Title o | of perso | n authorized to sign on | behalf of ass | ignee | | | |
| | Assign | nment r | ecorded in PTO on <u>Ap</u> | <u>ril 2, 1999,</u> Re | eel <u>9884</u> | , Frame <u>0021</u> | | |